

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): YEN-JEN LEE, CHIUN-AN CHAO, RAY NGAI, MING-CHAO
CHIANG and YU-PING HUANG

Assignee: STREAMING21, INC.

Title: GLOBAL MESSAGING WITH DISTRIBUTED ADAPTIVE
STREAMING CONTROL

Serial No.: 09/729,637 Filing Date: December 1, 2000

Examiner: Unknown Group Art Unit: 2152

Docket No.: M-10529 US

San Francisco, California
August 1, 2001

Box MISSING PARTS
Attn: Official Draftsperson
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Applicant(s) submit(s) six (6) sheets of formal drawings, in the above-named application. If there are any questions regarding these drawings, please call the undersigned at (415) 217-6000.

EXPRESS MAIL LABEL NO:

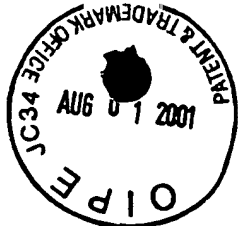
EL707908854US

Respectfully submitted,

Michael G. Cleveland
Agent for Applicant(s)
Reg. No. 46,030

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP

THREE EMBARCADERO CENTER
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SAN FRANCISCO, CA 94111
(415) 217-6000
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08-02-01

SECTOR
#3

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application --
Filing Date Granted" mailed by the United States Patent and Trademark Office on February 1,
2001, the following documents are enclosed to complete the filing of the above-identified
patent application:

1. A Declaration for Patent Application signed by the inventors in compliance
with 37 CFR 1.63.
2. Part 2 - Notice to File Missing Parts of Application.
3. Petition for Extension of Time.
4. Submission of Formal Drawings with six (6) sheets of formal drawings.
5. Assignment and Assignment Recordation Cover Sheet.

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Applicant qualifies for small entity status. The United States Patent and Trademark

Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- | | | |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| 1. | Basic statutory filing fee of \$355.00; additional claims fee of \$342.00; additional independent claims fee of \$320.00; four-month extension fee of \$695.00; and missing parts surcharge of \$65.00 | 1,772.00 |
| 2. | Assignment Recordation Fee | 40.00 |
| 3. | TOTAL FEES: | \$1812.00 |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-identified patent application and justify the filing date of December 1, 2000. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

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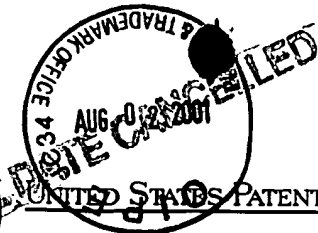
Respectfully submitted,



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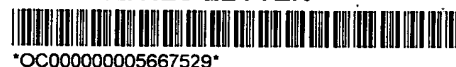
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,637	12/01/2000	Yen-Jen Lee	M-10529

CONFIRMATION NO. 3044

FORMALITIES LETTER



OC000000005667529

MICHAEL CLEVELAND
Majestic, Parsons, Siebert & Hsue
Suite 1100
Four Embarcadero Center
San Francisco, CA 94111

Date Mailed: 02/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1324.
 - \$684 for 38 total claims over 20.
 - \$640 for 8 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

08/03/2001 CNGUYEN 00000085 09729637

01 FC:201
02 FC:202
03 FC:203
04 FC:205

- Balance due by applicant is \$ 2164.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least*

08/03/2001 CNGUYEN 00000085 192386 09729637

01 FC:201
02 FC:202
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2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE